



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Sessler, *et al.*



Serial No.: 09/939,514

Filed: August 24, 2001

For: Halogenated Calixpyrroles,  
Calixpyridinopyrroles, and  
Calixpyridines, and Uses Thereof

§  
§  
§  
§  
§  
§  
§  
§  
§  
§  
§

Examiner: Joseph K. McKane and R. Gerstl  
(in parent application)

Group Art Unit: 1626

Atty. Dkt. No.: 045404.0003

Express Mail Label: EL576204435US

Customer No.: 020790

**Box Missing Parts**

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

**RESPONSE TO 37 C.F.R. § 1.53(f) NOTICE**

Sir:

In response to the Notice to File Missing Parts of Application mailed October 9, 2001, please find enclosed herewith:

1. Copy of the Notice to File Missing Parts of Nonprovisional Application-Filing Date Granted;
2. Declaration executed by Jonathan L. Sessler, Manuel Marquez, Pavel Anzenbacher, Jr., and James A. Shriver;
3. Election Under 37 C.F.R. §§ 3.71 and 3.73(b) and Power of Attorney executed by Cullen M. Godfrey with attached copy of assignment;
4. Check in the amount of \$750.00 to cover the basic filing fee (\$355), additional claims fees (\$330), and surcharge for late filing of the filing fee (\$65);
5. Request for Corrected Filing Receipt with attached marked-up version; and
6. Return postcard.

**Response to Notice Regarding 37 C.F.R. 1.821-1.825**

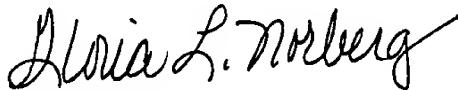
The above-identified application does not contain disclosures of nucleotide or amino acid sequences and therefore does not fall under the requirement of 37 C.F.R. 1.821-1.825. Therefore, a "Sequence Listing" is not needed. Applicant respectfully requests reconsideration of the statement of the Missing Parts Notice regarding this matter.

An assignment to Board of Regents, The University of Texas System is being filed under separate cover, along with the recordation fee of \$40.00.

The present documents are timely filed as the present paper is being filed prior to the two(2) month date of December 09, 2001. However, should any extension of time be required, consider this paper such a request. If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Akin, Gump, Strauss, Hauer & Feld, L.L.P. Deposit Account No. 01-0660.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

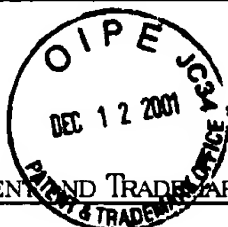
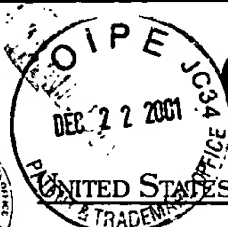


Gloria L. Norberg  
Reg. No. 36,706

Date: December 7, 2001

Agent for Applicants

Akin, Gump, Strauss, Hauer & Feld, L.L.P.  
816 Congress Avenue, Suite 1900  
Austin, Texas 78701  
(512) 499-6200



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,514	08/24/2001	Jonathan L. Sessler	045404.0003

CONFIRMATION NO. 3535

020790

AKIN GUMP STRAUSS HAUER & FELD  
1900 FROST BANK PLAZA  
816 CONGRESS AVENUE  
AUSTIN, TX 78701

## FORMALITIES LETTER



\*OC000000006872760\*

#4

Date Mailed: 10/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$330. 12/14/2001 GTEFCERA 00000084 010660 09939514
  - \$90 for 10 total claims over 20. 01 FC:201 370.00 OP
  - \$240 for 6 independent claims over 3. 02 FC:205 65.00 OP
  - 03 FC:203 90.00 OP
  - 04 FC:202 27.00 CH 225.00 OP
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 750.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be

submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

---

*A copy of this notice **MUST** be returned with the reply.*



---

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE